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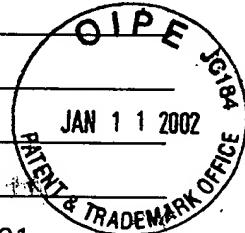
Serial No.: 09/911,367

Applicant(s): Landis, et al.

Filed: July 23, 2001

Title: DIAZARHOSPHACYCLES

Date Mailed: November 30, 2001



- Transmittal of Missing Parts of Patent Application (1 page) (duplicate)
- Copy of Two (2) Signed Declaration and Power of Attorney forms (4 pages each)
- Copy of Notice to File Missing Parts (Part 2) (1 page)
- Petition for Extension of Time Under 37 C.F.R. § 1.136(a) (2 pages) (duplicate)
- Check No. 614390; \$741.00
- Check No. 614388; \$55.00

DOCKET NO.: 032026:0594 Atty.: BPF

*Sjd*

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**FOLEY & LARDNER  
MADISON, WI**

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**Bernard P. Friedrichsen, Esq.  
Foley & Lardner  
P.O. Box 1497  
Madison, WI 53701-1497**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clark R. LANDIS, et al.

Title: DIAZAPHOSPHACYCLES

Appl. No.: 09/911,367

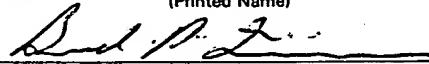
Filing Date: July 23, 2001

Group Art: 1625

**CERTIFICATE OF MAILING**  
 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on the date below.

Bernard P. Friedrichsen

(Printed Name)



(Signature)

November 30, 2001

(Date of Deposit)

**TRANSMITTAL OF MISSING PARTS**  
**OF PATENT APPLICATION**

BOX MISSING PARTS  
 Commissioner for Patents  
 Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed on September 26, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application. Applicant claims small entity status.

Enclosed are:

- Two (2) Signed Declaration and Power of Attorney forms (4 pages each)
- Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- Petition for Extension of Time Under 37 C.F.R. § 1.136(a) (duplicate)
- Check No. 614390 for \$741.00 in payment of filing fee and surcharge fee (37 C.F.R. § 1.16(e))
- Check No. 614388 for \$55.00 in payment of one-month extension fee

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

By Date November 30, 2001

FOLEY & LARDNER  
 150 East Gilman Street  
 P. O. Box 1497  
 Madison, Wisconsin 53701-1497  
 Telephone: (608) 258-4281  
 Facsimile: (608) 258-4258

Bernard P. Friedrichsen  
 Attorney for Applicant  
 Registration No. 44,689

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FOLEY & LARDNER

Fristar Center  
777 East Wisconsin Avenue  
MILWAUKEE, WISCONSIN 53202

NO. 614390

0750 00022

DATE Nov 28, 2001

0750 00022

AMOUNT

\*\*\*\*\*741.00

FIRSTSTAR  
Fristar Bank Milwaukee, N.A.

*Tom J. Budd*

PAY TO THE ORDER OF

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FOR

CHECKS OVER \$15,000 REQUIRE TWO SIGNATURES

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777 East Wisconsin Avenue  
MILWAUKEE, WISCONSIN 53202

NO. 614388

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DATE Nov 28, 2001

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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/911,367	07/23/2001	1625	0.00	032026:0594	11	54	3

**CONFIRMATION NO. 3382**

**FILING RECEIPT**



\*OC000000006798304\*

Date Mailed: 09/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Clark R. Landis, Madison, WI;  
 Wiechang Jin, Madison, WI;  
 Jonathan S. Owen, Pasadena, CA;  
 Thomas P. Clark, Madison, WI;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 09/25/2001

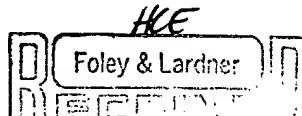
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Diazaphosphacycles



**Preliminary Class**

546

DOCKETED  
 BY CED DATE 10/1/01  
 TICKLER  
 BY Cab DATE 10/3/01  
*Foreign Filing 1/105*

OCT 1 2001

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,367	07/23/2001	Clark R. Landis	032026:0594

CONFIRMATION NO. 3382  
FORMALITIES LETTER

OC000000006798306

Foley & Lardner  
P.O. BOX 1497  
Madison, WI 53701-1497

Date Mailed: 09/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$612.  
■ \$612 for 34 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1452.

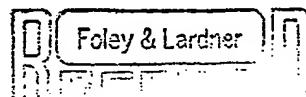
*A copy of this notice MUST be returned with the reply.*

*mar*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



DOCKETED

BY CEO DATE 10/16/01

TICKLER

BY Cab DATE 10/3/01

MISSING PARTS

OCT 1 2001